



THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re Application Serial No. 10/821,771**

**Applicants: Seiler et al.**

**Group Art Unit: Unknown**

**Filing Date: April 9, 2004**

**Title: HEAT EXCHANGER WITH FLOW CIRCUITING END CAPS**

**Attorney Docket No.: 60680-0793**

Dear Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

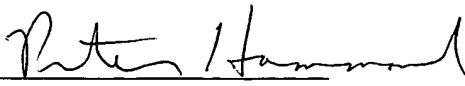
In connection with the U.S. patent application identified above, Applicant wishes to draw to the Examiner's attention the following art. This Statement is being filed under Section 1.97(b). Enclosed herewith is a List of References Cited by Applicant (PTO-1449).

It is requested that the prior art item be considered, made of record in the prosecution history of the application, and appear among the references cited on any patent to issue from the application.

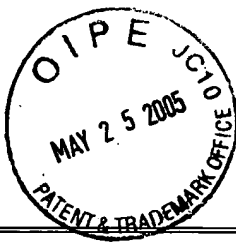
Applicant believes that this Information Disclosure Statement is being submitted prior to the mailing date of the first Official Action in the above-identified matter, in accordance with 37 C.F.R. §1.97(b)(3). However, in the event that the first Office Action has already been mailed and Applicant has not yet received the Office Action, Applicant petitions for consideration of this Supplemental Information Disclosure Statement and authorizes the Assistant Commissioner to deduct the fee of \$180.00 required under 37 C.F.R. §1.97(c)(2) and 37 C.F.R. §1.17(p) from Deposit Account No. 13-2400. The Assistant Commissioner is further authorized to deduct any additional fees required in connection with this Information Disclosure Statement from Deposit Account No. 13-2400 and to credit any overpayment to Deposit Account No. 13-2400.

EXECUTED at Mississauga, Ontario, Canada, this 17<sup>th</sup> day of May 2005.

Respectfully submitted,

By:   
Peter R. Hammond  
Registration No. 27,524

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<b>Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE</b>				<b>Attorney Docket No. 60680-0793</b>		<b>Serial No. 10/821,771</b>	
<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets if Necessary)</b>				<b>Applicants: Seiler <i>et al</i></b>			
				<b>Filing Date April 9, 2004</b>		<b>Group Art Unit: unassigned</b>	
<b>U.S. PATENT DOCUMENTS</b>							
<b>Examiner Initial</b>	<b>Document Number</b>	<b>Date (MM/DD/YY)</b>	<b>Name</b>	<b>Class</b>	<b>Subclass</b>	<b>Filing Date if Appropriate (MM/DD/YY)</b>	
	6,843,512	01/18/05	Fritze <i>et al</i>				

**FOREIGN PATENT DOCUMENTS**

						<b>Translation</b>	
<b>Examiner Initial</b>	<b>Document Number</b>	<b>Date</b>	<b>Country</b>	<b>Class</b>	<b>Sub-Class</b>	<b>Yes</b>	<b>No</b>

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

<b>EXAMINER</b>		<b>DATE CONSIDERED</b>
* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **SEILER ET AL.**

Docket No.

**60,680-793**

Application No.

**10/821,771**

Filing Date

**04/09/2004**

Examiner

**UNASSIGNED**

Customer No.

**26127**

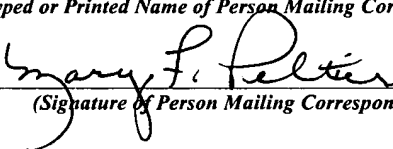
Group Art Unit

**UNASSIGNED**Invention: **HEAT EXCHANGER WITH FLOW CIRCUITING END CAPS**

I hereby certify that the following correspondence:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97 and FORM PTO-1449***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

05/25/2005*(Date)*MARY F. PELTIER*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*ED164796443US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**